

CA. 05-10176

United States Court of Appeals For the First Circuit

No. 07-1367

ACA FINANCIAL GUARANTY CORPORATION; DRYDEN NATIONAL MUNICIPALS
FUNDS, INC.; JOHN MOORE; LOIS MOORE; SMITH BARNEY INCOME FUNDS/SMITH
BARNEY MUNICIPAL HIGH INCOME FUND;
T. ROWE PRICE TAX-FREE HIGH YIELD FUND, INC.,
Plaintiffs, Appellants,

RECEIVED DENISE MCKEOWN; ROBERT LUTTS,
Plaintiffs,

v.

ADVEST, INC.; KAREN SUGHRUE; GARRY CRAGO; JEAN CHILDS; PAULA EDWARDS
COCHRAN; G. DAVIS STEVENS, JR.; JULIA DEMOSS; WILLIAM R. DILL; LESLIE A.
FERLAZZO; JOYCE SHAFFER FLEMING; ERIC W. HAYDEN; CATHERINE CHAPIN
KOBACHER; ANNE MARCUS; CELESTE REID; RICHARD J. SHEEHAN, JR.; JOSEPH
SHORT; GREGORY E. THOMAS; SUSAN K. TURBEN; DONALD W. KISZKA,
Defendants, Appellees.

JUDGMENT

Entered: January 10, 2008

This cause came on to be heard on appeal from the United States District Court for the District of Massachusetts and was argued by counsel.

Upon consideration whereof, it is now here ordered, adjudged and decreed as follows: The judgment of the district court is affirmed.

Certified and Issued as Mandate
under Fed. R. App. P. 41.

Richard Cushing Donovan, Clerk

Richard Cushing Donovan
Deputy Clerk

Date: JAN 31 2008

By the Court:

Richard Cushing Donovan
Richard Cushing Donovan, Clerk

[cc: Mr. Tabb, Mr. Hoffman, Mr. Kotlier, & Mr. Roberts.]